

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: John B. PolingApplication No./Patent No.: 7043034Filed/Issue Date: 05/09/2006Titled: **LOUDSPEAKER WITH SINGLE OR DUAL CHANNEL INPUT SELECTOR AND LOCKOUT**Polk Audio, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: John B. Poling To: Britannia Investment Corporation

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: Britannia Investment Corporation To: Polk Audio Incorporation

The document was recorded in the United States Patent and Trademark Office at
Reel 023094, Frame 0754, or for which a copy thereof is attached.

3. From: _____ To: _____

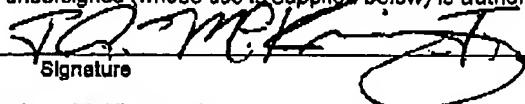
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

9/01/2009

Date

J. Andrew McKinney, Jr.

Attorney

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

USPTO

8/19/2008 9:24:50 PM PAGE 1/008 Fax Server

TO: J. ANDREW MCKINNEY, JR. COMPANY: P.O. BOX 1290



UNITED STATES PATENT AND TRADEMARK OFFICE

Facsimile Transmission

To:	Name:	J. ANDREW MCKINNEY, JR.
	Company:	P.O. BOX 1290
	Fax Number:	14105186050
	Voice Phone:	
From:	Name:	ASSIGNMENT SERVICES BRANCH
	Voice Phone:	571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Pg#	Description
1	Cover Page
2	1020.TXT
5	Document 1, Batch 1755502

USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Wednesday, August 19, 2009 9:24:34 PM
Number of pages including this cover sheet: 06

USPTO 8/19/2009 8:24:50 PM PAGE 2/006 Fax Server
TO: J. ANDREW MCKINNEY, JR. COMPANY: P.O. BOX 1290



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



AUGUST 18, 2009

* 5009376254*

PTAS

J. ANDREW MCKINNEY, JR.
P.O. BOX 1290
MILLERSVILLE, MD 21108

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/14/2009

REEL/FRAME: 023094/0754

NUMBER OF PAGES: 6

BRIEF: MERGER (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 1865 POLK AUDIO

ASSIGNOR:

BRITANNIA INVESTMENT CORPORATION DOC DATE: 11/21/2008

ASSIGNEE:

POLK AUDIO, INC
5601 METRO DRIVE
BALTIMORE, MARYLAND 21202

SERIAL NUMBER: 29114287
PATENT NUMBER: D440559
TITLE: PORTION OF A SPEAKER

FILING DATE: 11/22/1999
ISSUE DATE: 04/17/2001

SERIAL NUMBER: 29114318
PATENT NUMBER: D448360
TITLE: PORTION OF A SPEAKER

FILING DATE: 11/22/1999
ISSUE DATE: 09/25/2001

USPTO 8/18/2009 9:24:50 PM PAGE 3/008 Fax Server

TO: J. ANDREW MCKINNEY, JR. COMPANY:P.O. BOX 1290

023094/0754 PAGE 2

SERIAL NUMBER: 29145272
PATENT NUMBER: D463407
TITLE: SPEAKER

FILING DATE: 07/20/2001
ISSUE DATE: 09/24/2002

SERIAL NUMBER: 29237161
PATENT NUMBER: D538767
TITLE: AUDIO SYSTEM

FILING DATE: 08/29/2005
ISSUE DATE: 03/20/2007

SERIAL NUMBER: 29296897
PATENT NUMBER:
TITLE: SPEAKER

FILING DATE: 10/30/2007
ISSUE DATE:

SERIAL NUMBER: 29296898
PATENT NUMBER:
TITLE: AUDIO SYSTEM

FILING DATE: 10/30/2007
ISSUE DATE:

SERIAL NUMBER: 60924612
PATENT NUMBER:
TITLE: LOW-FREQUENCY IN-ROOM ACOUSTIC MAGNITUDE RESPONSE SMOOTHING VIA LATENT SUMMATION OF CORRECTION SIGNALS 

FILING DATE: 05/22/2007
ISSUE DATE:

SERIAL NUMBER: 09753724
PATENT NUMBER:
TITLE: COMPACT NARROW BAND LOUDSPEAKER ENCLOSURE

FILING DATE: 01/04/2001
ISSUE DATE:

SERIAL NUMBER: 09754241
PATENT NUMBER:
TITLE: COMPACT NARROW BAND LOUDSPEAKER ENCLOSURE AND METHOD

FILING DATE: 01/05/2001
ISSUE DATE:

SERIAL NUMBER: 10337347
PATENT NUMBER: 7162049
TITLE: PORTED LOUDSPEAKER SYSTEM AND METHOD WITH REDUCED AIR TURBULENCE, BIPOLAR RADIATION PATTERN AND NOVEL APPEARANCE

FILING DATE: 01/07/2003
ISSUE DATE: 01/09/2007

SERIAL NUMBER: 10660733 
PATENT NUMBER: 7043034
TITLE: LOUDSPEAKER WITH SINGLE OR DUAL CHANNEL INPUT SELECTOR AND LOCKOUT

FILING DATE: 09/12/2003
ISSUE DATE: 05/09/2006

SERIAL NUMBER: 10660727
PATENT NUMBER: 7039212
TITLE: WEATHER RESISTANT PORTING

FILING DATE: 09/12/2003
ISSUE DATE: 05/02/2006

SERIAL NUMBER: 10692692
PATENT NUMBER: 6937737
TITLE: MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS

FILING DATE: 10/27/2003
ISSUE DATE: 08/30/2005

SERIAL NUMBER: 11139611
PATENT NUMBER:
TITLE: COMPACT AUDIO REPRODUCTION SYSTEM WITH LARGE PERCEIVED ACOUSTIC SIZE AND IMAGE

FILING DATE: 05/31/2005
ISSUE DATE:

USPTO

8/19/2009 9:24:50 PM PAGE 4/008 Fax Server

TO: J. ANDREW MCKINNEY, JR. COMPANY: P.O. BOX 1280

023094/0754 PAGE 3

SERIAL NUMBER: 11147447	FILING DATE: 06/08/2005
PATENT NUMBER: 7231053	ISSUE DATE: 06/12/2007
TITLE: ENHANCED MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS	
SERIAL NUMBER: 12153623	FILING DATE: 05/21/2008
PATENT NUMBER:	ISSUE DATE:
TITLE: IN-ROOM ACOUSTIC MAGNITUDE RESPONSE SMOOTHING VIA SUMMATION OF CORRECTION SIGNALS	
SERIAL NUMBER: 29114287	FILING DATE: 11/22/1999
PATENT NUMBER: D440559	ISSUE DATE: 04/17/2001
TITLE: PORTION OF A SPEAKER	
SERIAL NUMBER: 29114318	FILING DATE: 11/22/1999
PATENT NUMBER: D448360	ISSUE DATE: 09/25/2001
TITLE: PORTION OF A SPEAKER	
SERIAL NUMBER: 29145272	FILING DATE: 07/20/2001
PATENT NUMBER: D463407	ISSUE DATE: 09/24/2002
TITLE: SPEAKER	
SERIAL NUMBER: 29237161	FILING DATE: 08/29/2005
PATENT NUMBER: D538767	ISSUE DATE: 03/20/2007
TITLE: AUDIO SYSTEM	
SERIAL NUMBER: 10337347	FILING DATE: 01/07/2003
PATENT NUMBER: 7162049	ISSUE DATE: 01/09/2007
TITLE: PORTED LOUDSPEAKER SYSTEM AND METHOD WITH REDUCED AIR TURBULENCE, BIFOLAR RADIATION PATTERN AND NOVEL APPEARANCE	
SERIAL NUMBER: 10660733	FILING DATE: 09/12/2003
PATENT NUMBER: 7043034	ISSUE DATE: 05/09/2006
TITLE: LOUDSPEAKER WITH SINGLE OR DUAL CHANNEL INPUT SELECTOR AND LOCKOUT	
SERIAL NUMBER: 10660727	FILING DATE: 09/12/2003
PATENT NUMBER: 7039212	ISSUE DATE: 05/02/2006
TITLE: WEATHER RESISTANT PORTING	
SERIAL NUMBER: 10692692	FILING DATE: 10/27/2003
PATENT NUMBER: 6937737	ISSUE DATE: 08/30/2005
TITLE: MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS	
SERIAL NUMBER: 11147447	FILING DATE: 06/08/2005
PATENT NUMBER: 7231053	ISSUE DATE: 06/12/2007
TITLE: ENHANCED MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS	

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

USPTO 8/18/2009 8:24:50 PM PAGE 5/008 Fax Server

TO: J. ANDREW MCKINNEY, JR. COMPANY: P.O. BOX 1290

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.108/14/2009
500937525

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	11/21/2008

CONVEYING PARTY DATA

Name	Execution Date
BRITANNIA INVESTMENT CORPORATION	11/21/2008

RECEIVING PARTY DATA

Name:	POLK AUDIO, INC
Street Address:	5801 Metro Drive
City:	Baltimore
State/Country:	MARYLAND
Postal Code:	21202

PROPERTY NUMBERS Total: 25

Property Type	Number
Patent Number:	D440569
Patent Number:	D448380
Patent Number:	D463407
Patent Number:	D538787
Patent Number:	7182049 *
Patent Number:	7043034 *
Patent Number:	7039212 *
Patent Number:	6937737
Patent Number:	7231053
Application Number:	29114287
Application Number:	29114318
Application Number:	29145272
Application Number:	29237181 *
Application Number:	29286897

CH 3109000 D440569

USPTO

8/19/2009 8:24:50 PM PAGE 800/8 Fax Server

TO: J. ANDREW MCKINNEY, JR. COMPANY: P.O. BOX 1290

Application Number:	20286888 *
Application Number:	60024812 *
Application Number:	09763724
Application Number:	09754241
Application Number:	10337347
Application Number:	10680733
Application Number:	10880727
Application Number:	10882882
Application Number:	11139811 *
Application Number:	11147447
Application Number:	12153823 *

CORRESPONDENCE DATA

Fax Number: (410)518-8050

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 410-518-8040

Email: mail@jandrewmckinney.com

Correspondent Name: J. ANDREW MCKINNEY, JR.

Address Line 1: P.O. BOX 1290

Address Line 4: MILLERBVILLE, MARYLAND 21108

ATTORNEY DOCKET NUMBER:	1865 POLK AUDIO
NAME OF SUBMITTER:	J. ANDREW MCKINNEY, JR.

Total Attachments: 4

source=Britannia into Polk merger doc filed with Maryland tax authority#page1.tif

source=Britannia into Polk merger doc filed with Maryland tax authority#page2.tif

source=Britannia into Polk merger doc filed with Maryland tax authority#page3.tif

source=Britannia into Polk merger doc filed with Maryland tax authority#page4.tif